

1  
2  
3 IN THE UNITED STATES DISTRICT COURT  
4  
5 FOR THE NORTHERN DISTRICT OF CALIFORNIA  
6  
7

8 KENNETH GIBBS,

No. C 13-02114 TEH (PR)

9 Plaintiff,

THIRD ORDER OF SERVICE

10 v.

11 T. FARLEY, et al.,

Docket No. 60

12 Defendants.  
13 \_\_\_\_\_/

14 Plaintiff Kenneth Gibbs, a state prisoner presently  
15 incarcerated at California State Prison-Sacramento, filed the  
16 instant pro se prisoner complaint under 42 U.S.C. § 1983 regarding  
17 incidents that took place at Pelican Bay State Prison (PBSP), where  
18 he was previously incarcerated. This action continues against  
19 several Defendants for claims of excessive force. Plaintiff was  
20 provided leave to amend to file a second amended complaint (SAC) to  
21 provide more information regarding his claim of retaliation.  
22 Plaintiff has filed a second amended complaint and also added a due  
23 process claim with respect to his disciplinary hearing.

24 I

25 Federal courts must engage in a preliminary screening of  
26 cases in which prisoners seek redress from a governmental entity or  
27 officer or employee of a governmental entity. 28 U.S.C. § 1915A  
28 (a). The court must identify cognizable claims or dismiss the

1 complaint, or any portion of the complaint, if the complaint "is  
2 frivolous, malicious, or fails to state a claim upon which relief  
3 may be granted," or "seeks monetary relief from a defendant who is  
4 immune from such relief." Id. § 1915A(b). Pleadings filed by pro  
5 se litigants, however, must be liberally construed. Hebbe v.  
6 Pliler, 627 F.3d 338, 342 (9th Cir. 2010); Balistreri v. Pacifica  
7 Police Dep't., 901 F.2d 696, 699 (9th Cir. 1990).

8 To state a claim under 42 U.S.C. § 1983, a plaintiff must  
9 allege two essential elements: (1) that a right secured by the  
10 Constitution or laws of the United States was violated, and  
11 (2) that the alleged violation was committed by a person acting  
12 under the color of state law. West v. Atkins, 487 U.S. 42, 48  
13 (1988).

14 Liability may be imposed on an individual defendant under  
15 § 1983 if the plaintiff can show that the defendant proximately  
16 caused the deprivation of a federally protected right. Leer v.  
17 Murphy, 844 F.2d 628, 634 (9th Cir. 1988); Harris v. City of  
18 Roseburg, 664 F.2d 1121, 1125 (9th Cir. 1981). A person deprives  
19 another of a constitutional right within the meaning of § 1983 if  
20 he does an affirmative act, participates in another's affirmative  
21 act or omits to perform an act which he is legally required to do,  
22 that causes the deprivation of which the plaintiff complains.  
23 Leer, 844 F.2d at 633.

## 24 II

25 In his SAC, Plaintiff asserts the following allegations.  
26 On April 24, 2013, PBSP Officers T. Farley and R. Graham came to  
27 Plaintiff's cell to escort him to the Interdisciplinary Treatment  
28

1 Team (IDTT). Officer J. Andersen was also present. After  
2 Plaintiff and Officer Farley exchanges some hostile words, Officers  
3 Farley and Andersen handled Plaintiff aggressively, making him feel  
4 that his welfare and security were at stake. Upon exiting the  
5 building and out of sight of other inmates, these Officers slammed  
6 Plaintiff against the wall and told him that "if he moved, they  
7 were going to take him down." The Officers then reversed direction  
8 and began escorting Plaintiff back to his cell. Within a few feet  
9 of his cell, someone placed their foot before Plaintiff, causing  
10 him to fall. While Plaintiff was falling, Officer Andersen placed  
11 his knee upon Plaintiff's back, causing Plaintiff's handcuffs to  
12 tighten so that he felt excruciating pain. While Plaintiff was on  
13 the ground, Officers Andersen and Farley jammed their elbows into  
14 Plaintiff's neck, causing him more pain. During this entire time,  
15 Plaintiff was not resisting the Officers. Other Officers arrived  
16 at the scene. Officer Chisman kicked Plaintiff in his loins.

17 As a result of the Officers' use of excessive force,  
18 Plaintiff suffered a swollen eye, a swollen knee, and a sprained  
19 wrist. The Officers also filed a Rules Violation Report (RVR)  
20 against Plaintiff, falsely asserting that he was resisting a peace  
21 officer. Plaintiff was found guilty. Plaintiff alleges that the  
22 hearing officer, Defendant Lt. Diggle, violated his due process  
23 rights at the hearing by not allowing Plaintiff to call witnesses  
24 and not providing a staff assistant to help Plaintiff with his  
25 defense.

26 On August 5, 2013, Plaintiff was released from PBSP's  
27 Administrative Segregation Unit (Ad-Seg) and returned to the  
28

1 general population. On August 10, 2013, Officer Andersen  
2 approached Plaintiff's new cell with Plaintiff's personal property  
3 and informed Plaintiff that he could not have his clippers,  
4 batteries, chess board, soap dish, playing cards, and prayer oil.  
5 Officer Andersen asked whether Plaintiff wanted to donate the items  
6 or send them home. Plaintiff informed Officer Andersen that he  
7 would file a lawsuit, and Officer Andersen walked away. On  
8 September 7, 2013, Officer Andersen again approached Plaintiff's  
9 cell with Plaintiff's personal property and asked Plaintiff what  
10 Plaintiff wanted to do with the items that he was not allowed to  
11 have. Plaintiff told Officer Andersen that he would donate the  
12 items but then asked to have his CD-player, ten CDs, rechargeable  
13 batteries, battery charger, and headphones. Officer Andersen  
14 informed Plaintiff that the requested items had been confiscated  
15 from his prior Ad-Seg cell because Plaintiff was not supposed to  
16 have them.

17           Based on these allegations, Plaintiff alleges the  
18 following claims: (1) an Eighth Amendment claim against Officers  
19 Farley, Andersen, and Chisman based on their use of excessive force  
20 against Plaintiff; (2) an Eighth Amendment claim against Officers  
21 Graham and Chisman based on the fact that they were present when  
22 the other Officers violated Plaintiff's Eighth Amendment rights and  
23 did nothing to stop them; (3) a claim that Lt. Diggle violated his  
24 due process rights at the disciplinary hearing; and (4) a First  
25 Amendment claim against Officer Andersen on the ground that he  
26 confiscated Plaintiff's personal property in retaliation for  
27 Plaintiff filing the instant action.

## III

## A

In its prohibition of "cruel and unusual punishment," the Eighth Amendment places restraints on prison officials, who may not, for example, use excessive force against prisoners. Hudson v. McMillian, 503 U.S. 1, 6-7 (1992). Where a prisoner claims that prison officials used excessive force, he must show that the officials applied force maliciously and sadistically to cause harm. Id.; Furnace v. Sullivan, 705 F.3d 1021, 1030 (9th Cir. 2013). Although the Eighth Amendment protects against cruel and unusual punishment, this does not mean that federal courts can or should interfere whenever prisoners are inconvenienced or suffer de minimis injuries. Hudson, 503 U.S. at 9-10. In determining whether the use of force was for the purpose of maintaining or restoring discipline, or for the malicious and sadistic purpose of causing harm, a court may evaluate the need for application of force, the relationship between that need and the amount of force used, the extent of any injury inflicted, the threat reasonably perceived by the responsible officials, and any efforts made to temper the severity of a forceful response. Id., 503 U.S. at 7; LeMaire v. Maass, 12 F.3d 1444, 1454 (9th Cir. 1993); see also Spain v. Procunier, 600 F.2d 189, 195 (9th Cir. 1979) (guards may use force only in proportion to need in each situation).

Construing the allegations liberally, Plaintiff states an Eighth Amendment excessive force claim against Farley, Graham, Andersen, and Chisman as discussed above.

B

Wolff v. McDonnell, 418 U.S. 539 (1974) established five procedural requirements at prison disciplinary hearings. First, "written notice of the charges must be given to the disciplinary-action defendant in order to inform him of the charges and to enable him to marshal the facts and prepare a defense." Wolff, 418 U.S. at 564. Second, "at least a brief period of time after the notice, no less than 24 hours, should be allowed to the inmate to prepare for the appearance before the [disciplinary committee]." Id. Third, "there must be a 'written statement by the factfinders as to the evidence relied on and reasons' for the disciplinary action." Id. (quoting Morrissey v. Brewer, 408 U.S. 471, 489 (1972)). Fourth, "the inmate facing disciplinary proceedings should be allowed to call witnesses and present documentary evidence in his defense when permitting him to do so will not be unduly hazardous to institutional safety or correctional goals." Id. at 566; see also Bartholomew v. Watson, 665 F.2d 915, 917-18 (9th Cir. 1982) (right to call witnesses is basic to fair hearing and decisions to preclude should be on case by case analysis of potential hazards of calling particular person). Fifth, "[w]here an illiterate inmate is involved . . . or where the complexity of the issues makes it unlikely that the inmate will be able to collect and present the evidence necessary for an adequate comprehension of the case, he should be free to seek the aid of a fellow inmate, or . . . to have adequate substitute aid . . . from the staff or from a[n] . . . inmate designated by the staff." Wolff, 418 U.S. at 570. The Court

specifically held that the Due Process Clause does not require that prisons allow inmates to cross-examine their accusers, see id. at 567-68, and does not give rise to a right to counsel in the proceedings, see id. at 569-70.

Plaintiff first argues that he was denied a staff assistant to aid in his defense. However, a staff assistant is only assigned when the plaintiff is illiterate or there are complex issues. There are no such allegations here, and Plaintiff has adequately litigated this case pro se. Moreover, Plaintiff states he was provided an investigate employee to help in the investigation. For all these reasons, there was no due process violation.

Plaintiff also argues that he was denied witnesses for his defense who observed the incident. Construing this allegation liberally, Plaintiff states a due process violation against Lt. Diggle.

C

"Within the prison context, a viable claim of First Amendment retaliation entails five basic elements: (1) An assertion that a state actor took some adverse action against an inmate (2) because of (3) that prisoner's protected conduct, and that such action (4) chilled the inmate's exercise of his First Amendment rights, and (5) the action did not reasonably advance a legitimate correctional goal." Rhodes v. Robinson, 408 F.3d 559, 567-68 (9th Cir. 2005) (footnote omitted). The plaintiff must show that the type of activity he was engaged in was protected by the First Amendment and that the protected conduct was a substantial or

1 motivating factor for the alleged retaliatory acts. See Mt Healthy  
2 City Bd. of Educ. v. Doyle, 429 U.S. 274, 287 (1977). Retaliation  
3 is not established simply by showing adverse activity by a  
4 defendant after protected speech; rather, the plaintiff must show a  
5 nexus between the two. See Huskey v. City of San Jose, 204 F.3d  
6 893, 899 (9th Cir. 2000) (retaliation claim cannot rest on the  
7 logical fallacy of post hoc, ergo propter hoc, i.e., "after this,  
8 therefore because of this"). See generally Reichle, et al. v.  
9 Howards, 132 S.Ct. 2088, 2097-98 (2012) (Ginsburg, J. concurring)  
10 (finding no inference of retaliatory animus from Secret Service  
11 agents' assessment whether the safety of the person they are  
12 guarding is in danger); Dietrich v. John Ascuaga's Nugget, 548 F.3d  
13 892, 901 (9th Cir. 2008) (finding no retaliation where plaintiff  
14 presented no evidence that defendants gave her a traffic citation  
15 after defendants read a newspaper article about her First Amendment  
16 activities, rather than because she drove past a police barricade  
17 with a "road closed" sign on it); Huskey, 204 F.3d at 899 (summary  
18 judgment proper against plaintiff who could only speculate that  
19 adverse employment decision was due to his negative comments about  
20 his supervisor six or seven months earlier).

21           Plaintiff claims that Officer Andersen confiscated his  
22 property in retaliation for his having filed the instant lawsuit,  
23 but fails to allege any facts that elevate his allegations to the  
24 level of a plausible retaliation claim. The confiscation of  
25 property does not alone imply retaliation. There are no facts  
26 alleged suggesting that Officer Andersen denied Plaintiff's request  
27 for his property because of Plaintiff's protected conduct rather  
28



1 than for departmental reasons. Plaintiff was provided leave to  
 2 amend but has failed to cure the deficiencies described by the  
 3 Court and demonstrate a viable retaliation claim. Accordingly,  
 4 Plaintiff's retaliation claim is dismissed without leave to amend.<sup>1</sup>

5 IV

6 For the foregoing reasons, the Court hereby orders as  
 7 follows:

8 1. Plaintiff's Eighth Amendment excessive force claims  
 9 against Farley, Graham, Andersen, and Chisman are cognizable.  
 10 Plaintiff's due process claim against Lt. Diggle is also  
 11 cognizeable. Plaintiff's retaliation claim is DISMISSED without  
 12 leave to amend. Plaintiff's motion to add a claim (Docket NO. 60)  
 13 is GRANTED. No further amendments will be permitted.

14 2. The Clerk shall issue summons and the United States  
 15 Marshal shall serve, without prepayment of fees, copies of the  
 16 second amended complaint in this matter and all attachments thereto  
 17 and copies of this order on the following PBSP employee: Lt. J.  
 18 Diggle (or D. Diggle). The Clerk shall also mail a copy of this

---

19 <sup>1</sup> To the extent Plaintiff argues there was a due process  
 20 violation in the confiscation of his property, any such claim is  
 21 denied. Neither the negligent nor intentional deprivation of property  
 22 states a due process claim under § 1983 if the deprivation was random  
 23 and unauthorized, however. See Parratt v. Taylor, 451 U.S. 527,  
 24 535-44 (1981) (state employee negligently lost prisoner's hobby kit),  
 25 overruled in part on other grounds, Daniels v. Williams, 474 U.S. 327,  
 26 330-31 (1986). The availability of an adequate state post-deprivation  
 27 remedy, e.g., a state tort action, precludes relief because it  
 28 provides sufficient procedural due process. See Zinermon v. Burch,  
 494 U.S. 113, 128 (1990) (where state cannot foresee, and therefore  
 provide meaningful hearing prior to, deprivation statutory provision  
 for post-deprivation hearing or common law tort remedy for erroneous  
 deprivation satisfies due process). California law provides such an  
 adequate post-deprivation remedy. See Barnett v. Centoni, 31 F.3d  
 813, 816-17 (9th Cir. 1994) (citing Cal. Gov't Code §§ 810-895).

1 order to Plaintiff and mail a courtesy copy of this Order and the  
 2 complaint to the California Attorney General's Office in San  
 3 Francisco.

4 3. To expedite the resolution of this case, the Court  
 5 orders as follows:

6 a. No later than 91 days from the date this order  
 7 is filed, Defendants must file and serve a motion for summary  
 8 judgment or other dispositive motion. A motion for summary  
 9 judgment also must be accompanied by a Rand notice so that  
 10 Plaintiff will have fair, timely and adequate notice of what is  
 11 required of him in order to oppose the motion. Woods v. Carey, 684  
 12 F.3d 934, 939 (9th Cir. 2012) (notice requirement set out in Rand  
 13 v. Rowland, 154 F.3d 952 (9th Cir. 1998), must be served  
 14 concurrently with motion for summary judgment).<sup>2</sup>

15 If Defendants are of the opinion that this case cannot be  
 16 resolved by summary judgment, Defendants must so inform the Court  
 17 prior to the date the motion is due.

18 b. Plaintiff's opposition to the summary judgment  
 19 or other dispositive motion must be filed with the Court and served  
 20 upon Defendants no later than 28 days from the date the motion is

---

21  
 22 <sup>2</sup> If Defendants assert that Plaintiff failed to exhaust his  
 23 available administrative remedies as required by 42 U.S.C. § 1997e(a),  
 24 Defendants must raise such argument in a motion for summary judgment,  
 25 pursuant to the Ninth Circuit's recent opinion in Albino v. Baca, 747  
 26 F.3d 1162 (9th Cir. 2014) (en banc) (overruling Wyatt v. Terhune, 315  
 27 F.3d 1108, 1119 (9th Cir. 2003), which held that failure to exhaust  
 28 available administrative remedies under the Prison Litigation Reform  
 Act, should be raised by a defendant as an unenumerated Rule 12(b)  
 motion). Such a motion should also incorporate a modified Wyatt  
 notice in light of Albino. See Wyatt v. Terhune, 315 F.3d 1108, 1120,  
 n.14 (9th Cir. 2003); Stratton v. Buck, 697 F.3d 1004, 1008 (9th Cir.  
 2012).

1 filed. Plaintiff must bear in mind the notice and warning  
2 regarding summary judgment provided later in this order as he  
3 prepares his opposition to any motion for summary judgment.

4 c. Defendants shall file a reply brief no later  
5 than 14 days after the date the opposition is filed. The motion  
6 shall be deemed submitted as of the date the reply brief is due.  
7 No hearing will be held on the motion.

8 4. Plaintiff is advised that a motion for summary  
9 judgment under Rule 56 of the Federal Rules of Civil Procedure  
10 will, if granted, end your case. Rule 56 tells you what you must  
11 do in order to oppose a motion for summary judgment. Generally,  
12 summary judgment must be granted when there is no genuine issue of  
13 material fact - that is, if there is no real dispute about any fact  
14 that would affect the result of your case, the party who asked for  
15 summary judgment is entitled to judgment as a matter of law, which  
16 will end your case. When a party you are suing makes a motion for  
17 summary judgment that is properly supported by declarations (or  
18 other sworn testimony), you cannot simply rely on what your  
19 complaint says. Instead, you must set out specific facts in  
20 declarations, depositions, answers to interrogatories, or  
21 authenticated documents, as provided in Rule 56(e), that contradict  
22 the facts shown in the defendants' declarations and documents and  
23 show that there is a genuine issue of material fact for trial. If  
24 you do not submit your own evidence in opposition, summary  
25 judgment, if appropriate, may be entered against you. If summary  
26 judgment is granted, your case will be dismissed and there will be

1 no trial. Rand v. Rowland, 154 F.3d 952, 962-63 (9th Cir. 1998)  
2 (en banc) (App. A).


3 (The Rand notice above does not excuse Defendants'  
4 obligation to serve said notice again concurrently with a motion  
5 for summary judgment. Woods, 684 F.3d at 939).

6 5. All communications by Plaintiff with the Court must  
7 be served on Defendants, or Defendants' counsel once counsel has  
8 been designated, by mailing a true copy of the document to  
9 Defendants or Defendants' counsel.

10 6. It is Plaintiff's responsibility to prosecute this  
11 case. Plaintiff must keep the Court and all parties informed of  
12 any change of address and must comply with the Court's orders in a  
13 timely fashion. Failure to do so may result in the dismissal of  
14 this action pursuant to Federal Rule of Civil Procedure 41(b).

15 IT IS SO ORDERED.

16  
17 Dated: 03/11/2015

  
\_\_\_\_\_  
THELTON E. HENDERSON  
UNITED STATES DISTRICT JUDGE

18  
19  
20  
21  
22  
23  
24 G:\PRO-SE\TEH\CR.13\Gibbs2114.serve3.wpd  
25  
26  
27  
28